

## Message Text

CONFIDENTIAL

PAGE 01 OTTAWA 01698 01 OF 02 062201Z

67

ACTION EUR-12

INFO OCT-01 ISO-00 SSO-00 NSCE-00 INRE-00 USIE-00 CIAE-00

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PRS-01 SP-02 SS-15 MC-02 ACDA-05 IO-10 TRSE-00 SAJ-01

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FM AMEMBASSY OTTAWA

TO SECSTATE WASHDC IMMEDIATE 6365

INFO USMISSION NATO

C O N F I D E N T I A L SECTION 1 OF 2 OTTAWA 1698

E.O. 11652: GDS

TAGS: MARR, CA

SUBJ: NOARAD AGREEMENT

1. THERE FOLLOWS EXTAFF-CLEARED TEXT OF AGREED NORAD  
EXCHANGE OF NOTES:

BEGIN QUOTE: SR, I HAVE THE HONOR TO REFER TO DISCUSSIONS  
WHICH HAVE TAKEN PLACE BETWEEN REPRESENTATIVES OF OUR TWO  
GOVERNMENTS REGARDING FUTURE COOPERATION BETWEEN CANADA  
AND THE UNITED STATES OF AMERICA IN THE DEFENSE OF NORTH  
AMERICA. OUR GOVERNMENTS REMAIN CONVINCED THAT SUCH CO-  
OPERATION, CONDUCTED WITHIN THE FRAMEWORK OF THE NORTH  
ATLANTIC TREATY, REMAINS VITAL TO THEIR MUTUAL SECURITY,  
COMPATIBLE WITH THEIR NATIONAL INTERESTS AND AN IMPORTANT  
ELEMENTS OF THEIR CONTRIBUTION TO THE OVERALL SECURITY  
OF THE NATO AREA.

AS NEIGHBORS AND ALLIES WITHIN NORTH AMERICA, OUR  
TWO GOVERNMENTS HAVE ACCEPTED SPECIAL RESPONSIBILITIES  
FOR THE SECURITY OF THE CANADIAN-UNITED STATES REGION  
OF NATO AND, IN FULFILLING THESE RESPONSIBILITIES,  
HAVE ENTERED INTO A NUMBER OF BILATERAL ARRANGEMENTS  
CONFIDENTIAL

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PAGE 02 OTTAWA 01698 01 OF 02 062201Z

TO FACILITATE JOINT DEFENSE ACTIVITIES. AMONG THESE,

THE ARRANGEMENTS FOR INTEGRATED AIR DEFENSE EMBODIED IN THE NORTH AMERICAN AIR DEFENSE COMMAND (NORAD) HAVE PROVIDED, SINCE 1958, THE MEANS OF EXERCISING EFFECTIVE OPERATIONAL CONTROL OF THE FORCES ASSIGNED BY OUR TWO GOVERNMENTS TO THE AIR DEFENSE OF NORTH AMERICA.

IN THE YEARS SINCE THE NORAD AGREEMENT WAS FIRST CONCLUDED, THERE HAVE BEEN SIGNIFICANT CHANGES IN THE CHARACTER OF STRATEGIC WEAPONS AND IN THE NATURE OF THE THREAT THEY POSE TO NORTH AMERICA. THE MOST IMPORTANT OF THESE CHANGES HAS BEEN THE MAJOR INCREASE IN THE NUMBER AND SOPHISTICATION OF STRATEGIC MISSILES. ONE RESULT HAS BEEN THE ENHANCEMENT OF MUTUAL DETERRENCE. ANOTHER IS THAT WHILE LONG-RANGE BOMBERS CONTINUE TO POSE A THREAT TO NORTH AMERICA, MISSILES NOW CONSTITUTE THE PRINCIPAL THREAT.

IN LIGHT OF THESE DEVELOPMENTS, OUR TWO GOVERNMENTS RETAIN A COMMON INTEREST IN THE MAINTENANCE OF EFFECTIVE SURVEILLANCE AND CONTROL OF NORTH AMERICAN AIR SPACE AND IN PREVENTING ITS USE FOR PURPOSES DETRIMENTAL TO THE SECURITY OF NORTH AMERICA. SINCE SURVEILLANCE AND CONTROL IN PEACETIME ARE EXPECTED TO ASSUME INCREASING IMPORTANCE, EACH GOVERNMENT HAS DECIDED TO ESTABLISH A JOINT CIVIL-MILITARY SYSTEM TO CARRY OUT THESE ACTIVITIES IN CONJUNCTION WITH THE AIR DEFENSE OPERATIONS OF NORAD.

THE LARGE VOLUME OF AIR TRAFFIC FLOWING DAILY TO, FROM AND WITHIN NORTH AMERICA, MUCH OF IT ACROSS THE BORDER BETWEEN OUR TWO COUNTRIES, DICTATES THAT OUR NATIONAL AIRSPACE SURVEILLANCE AND CONTROL SYSTEMS BE COMPATIBLE WITH EACH OTHER AND REQUIRES A HIGH DEGREE OF COORDINATION BETWEEN THEIR MILITARY COMPONENTS. OUR GOVERNMENTS AGREE THAT THE NECESSARY COMMAND AND CONTROL ARRANGEMENTS CAN MOST EFFECTIVELY AND ECONOMICALLY BE PROVIDED BY THE CONTINUED OPERATION OF NORAD.

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PAGE 03 OTTAWA 01698 01 OF 02 062201Z

IN ADDITION TO PERFORMING THE AIRSPACE SURVEILLANCE AND CONTROL FUNCTIONS RELATED TO AIR DEFENSE, NORAD WILL CONTINUE TO MONITOR SPACE ACTIVITIES OF STRATEGIC AND TACTICAL INTEREST AND PROVIDE WARNING OF AEROSPACE ACTIVITIES THAT MAY THREATEN NORTH AMERICA. CANADIAN PARTICIPATION IN THE ACTIVITIES OF NORAD'S AEROSPACE WARNING SYSTEMS DOES NOT INVOLVE ANY COMMITMENT BY THE CANADIAN GOVERNMENT TO TAKE PART IN AN

ACTIVE BALLISTIC MISSILE DEFENSE ARRANGEMENT.

IN THESE CIRCUMSTANCES, THE PRIMARY OBJECTIVES  
OF NORAD WILL IN FUTURE BE:

(A) TO ASSIST EACH COUNTRY TO SAFEGURAD THE  
SOVEREIGNTY OF ITS AIRSPACE;

(B) TO CONTRIBUTE TO THE DETERRENCE OF ATTACK ON  
NORTH AMERICA BY PROVIDING CAPABILITIES FOR WARNING  
OF ATTACK AND FOR DEFENSE AGAINST AIR ATTACK;

(C) SHOULD DETERRENCE FALL, TO ENSURE AN APPROPRIATE  
RESPONSE AGAINST ATTACK BY PROVIDING FOR THE EFFECTIVE  
USE OF THE FORCES OF THE TWO COUNTRIES AVILABLE FOR  
AIR DEFENSE.

AS IN THE CASE OF ALL JOINT DEFENSE ACTIVITIES,  
THE FUTURE ACTIVITIES ENVISAGED FOR NORAD WILL REQUIRE  
THE CLOSEST COOPERATION BETWEEN AUTHORITIES OF OUR  
TWO GOVERNMENTS AND IT IS RECOGNIZED THAT THIS CAN  
ONLY BE ACHIEVED IN A MUTUALLY SATISFACTORY WAY IF  
FULL AND MEANINGFUL CONSULTATION IS CARRIED OUT ON A  
CONTINUING BASIS. OUR TWO GOVERNMENTS, THEREFORE, UNDER-  
TAKE TO ENSURE THAT SUCH CONSULTATION TAKES PLACE.

ON THE BASIS OF OUR COMMON APPRECIATION OF THE  
CIRCUMSTANCES DESCRIBED AND OF THE EXPERIENCE GAINED  
SINCE THE INCEPTION OF NORAD, MY GOVERNMENT PROPOSED  
THAT THE FOLLOWING PRINCIPLES SHOULD GOVERN THE FUTURE  
ORGANIZATION AND OPERATIONS OF NORAD.

1. THE COMMANDER IN CHIEF, NORAD (CINCNORAD), AND HIS  
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PAGE 04 OTTAWA 01698 01 OF 02 062201Z

DEPUTY IN CINCNORAD'S ABSENCE, WILL BE RESPONSIBLE TO  
THE CHIEF OF DEFENCE STAFF OF CANADA AND THE JOINT  
CHIEFS OF SATFF OF THE UNITED STATES, WHO IN TURN,  
ARE RESPONSIBLE TO THEIR RESPECTIVE GOVERNMENTS.  
THEY WILL OPERATE WITHIN A CONCEPT OF SURVEILLANCE,  
WARNING, CONTROL AND DEFENSE APPROVED BY THE APPROPRIATE  
AUTHORITIES OF OUR TWO GOVERNMENTS, WHO WILL BEAR IN  
MIND THEIR OBJECTIVES IN THE DEFENSE OF THE CANADA-  
UNITED STATES REGION OF THE NATO AREA.

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PAGE 01 OTTAWA 01698 02 OF 02 062211Z

67

ACTION EUR-12

INFO OCT-01 ISO-00 SSO-00 NSCE-00 INRE-00 USIE-00 CIAE-00

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C O N F I D E N T I A L SECTION 2 OF 2 OTTAWA 1698

2. NORAD WILL INCLUDE SUCH COMBAT UNITS AND INDIVIDUALS AS ARE SPECIFICALLY ALLOCATED TO IT BY THE TWO GOVERNMENTS. THE JURISDICTION OF THE COMMANDER IN CHIEF, NORAD, OVER THOSE UNITS AND INDIVIDUALS IS LIMITED TO OPERATIONAL CONTROL AS HEREINAFTER DEFINED.

3. 'OPERATIONAL CONTROL' IS THE POWER TO DIRECT, COORDINATE, AND CONTROL THE OPERATIONAL ACTIVITIES OF FORCES ASSIGNED, ATTACHED, OR OTHERWISE MADE AVAILABLE. NO PERMANENT CHANGES OF STATION WOULD BE MADE WITHOUT APPROVAL OF THE HIGHER NATIONAL AUTHORITY CONCERNED. TEMPORARY REINFORCEMENT FROM ONE AREA TO ANOTHER, INCLUDING THE CROSSING OF THE INTERNATIONAL BOUNDARY, TO MEET OPERATIONAL REQUIREMENTS WILL BE WITHIN THE AUTHORITY OF COMMANDERS HAVING OPERATIONAL CONTROL. THE BASIC COMMAND ORGANIZATION FOR THE DEFENSE FORCES OF THE TWO COUNTRIES, INCLUDING ADMINISTRATION, DISCIPLINE, INTERNAL ORGANIZATION AND UNIT TRAINING, SHALL BE EXERCISED BY NATIONAL COMMANDERS RESPONSIBLE TO THEIR NATIONAL AUTHORITIES.

4. THE APPOINTMENT OF CINCNORAD AND HIS DEPUTY MUST BE APPROVED BY THE CANADIAN AND UNITED STATES GOVERNMENTS.  
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PAGE 02 OTTAWA 01698 02 OF 02 062211Z

THEY WILL NOT BE FROM THE SAME COUNTRY, AND THE CINCNORAD STAFF SHALL BE AN INTEGRATED JOINT STAFF COMPOSED OF OFFICERS OF BOTH COUNTRIES. DURING THE ABSENCE OF CINCNORAD, COMMAND WILL PASS TO THE DEPUTY COMMANDER.

5. THE NORTH ATLANTIC TREATY ORGANIZATION WILL CONTINUE TO BE KEPT INFORMED THROUGH THE CANADA-UNITED STATES REGIONAL PLANNING GROUP OF ARRANGEMENTS FOR THE AIR DEFENSE OF NORTH AMERICA.

6. THE PLANS AND PROCEDURES TO BE FOLLOWED BY NORAD IN WARTIME SHALL BE FORMULATED AND APPROVED BY APPROPRIATE NATIONAL AUTHORITIES AND SHALL BE CAPABLE OF RAPID IMPLEMENTATION IN AN EMERGENCY. ANY PLANS OR PROCEDURES RECOMMENDED BY NORAD WHICH BEAR ON THE RESPONSIBILITIES OF CIVILIAN DEPARTMENTS OR AGENCIES OF THE TWO GOVERNMENTS SHALL BE REFERRED FOR DECISION BY THE APPROPRIATE MILITARY AUTHORITIES TO THOSE AGENCIES AND DEPARTMENTS AND MAY BE THE SUBJECT OF INTER-GOVERNMENTAL COORDINATION THROUGH AN APPROPRIATE MEDIUM SUCH AS THE PERMANENT JOINT BOARD ON DEFENSE, CANADA-UNITED STATES.

7. TERMS OF REFERENCE OF CINCNORAD AND HIS DEPUTY WILL BE CONSISTENT WITH THE FOREGOING PRINCIPLES. CHANGES IN THESE TERMS OF REFERENCE MAY BE MADE BY AGREEMENT BETWEEN THE CANADIAN CHIEF OF DEFENCE STAFF AND THE UNITED STATES JOINT CHIEFS OF STAFF, WITH APPROVAL OF HIGHER AUTHORITY, AS APPROPRIATE, PROVIDED THAT THESE CHANGES ARE IN CONSONANCE WITH THE PRINCIPLES SET OUT IN THIS NOTE.

8. THE FINANCING OF EXPENDITURES CONNECTED WITH THE OPERATION OF THE INTEGRATED HEADQUARTERS OF NORAD WILL BE ARRANGED BY MUTUAL AGREEMENT BETWEEN APPROPRIATE AGENCIES OF THE TWO GOVERNMENTS.

9. THE AGREEMENT BETWEEN PARTIES TO THE NORTH ATLANTIC TREATY REGARDING THE STATUS OF THEIR FORCES SIGNED IN LONDON ON JUNE 19, 1951, SHALL APPLY.

CONFIDENTIAL

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PAGE 03 OTTAWA 01698 02 OF 02 062211Z

10. PUBLIC STATEMENTS BY CINCNORAD ON MATTERS OF INTEREST TO CANADA AND THE UNITED STATES OF AMERICA WILL IN ALL CASES BE THE SUBJECT OF PRIOR CONSULTATION AND AGREEMENT BETWEEN APPROPRIATE AGENCIES OF THE TWO GOVERNMENTS.

IF THE GOVERNMENT OF THE USA CONCURS IN THE CONSIDERATIONS

AND PROVISIONS SET OUT HEREIN, I HAVE THE HONOR TO PROPOSE THAT THIS NOTE, WHICH IS EQUALLY AUTHENTIC IN ENGLISH AND FRENCH, AND YOUR REPLY TO THAT EFFECT SHALL CONSTITUTE AN AGREEMENT BETWEEN OUR TWO GOVERNMENTS, WHICH WILL ENTER INTO FORCE ON THE DATE OF YOUR REPLY, WITH EFFECT FROM MAY 12, 1975. THIS AGREEMENT WILL SUPERSEDE THE AGREEMENT ON THE NORTH AMERICAN AIR DEFENSE COMMAND CONCLUDED IN WASHINGTON, D.C. ON MAY 12, 1958 AND SUBSEQUENTLY RENEWED ON MARCH 30, 1968 AND MAY 10, 1973.

THE PRESENT AGREEMENT WILL REMAIN IN EFFECT FOR A PERIOD OF FIVE YEARS FROM MAY 12, 1975, DURING WHICH PERIOD ITS TERMS MAY BE REVIEWED AT ANY TIME AT THE REQUEST OF EITHER PARTY. IT MAY BE TERMINATED BY EITHER GOVERNMENT, FOLLOWING TWELVE MONTHS' WRITTEN NOTICE TO THE OTHER. (COMPLIMENTARY CLOSING PARAGRAPH)  
END QUOTE

BEGIN QUOTE:

EXCELLENCY: I HAVE THE HONOR TO REFER TO YOUR NOTE OF MAY 8, 1975, SETTING FORTH CERTAIN CONSIDERATIONS AND PROVISIONS CONCERNING THE CONTINUED COOPERATION OF OUR TWO GOVERNMENTS IN THE NORTH AMERICAN AIR DEFENSE COMMAND, WHICH HAS BEEN GOVERNED BY THE AGREEMENT CONCLUDED ON MAY 12, 1958 AND SUBSEQUENTLY RENEWED ON MARCH 30, 1968 AND MAY 10, 1973. I AM PLEASED TO INFORM YOU THAT MY GOVERNMENT CONCURS IN THE CONSIDERATIONS AND PROVISIONS SET OUT IN YOUR NOTE, AND FURTHER AGREES WITH YOUR PROPOSAL THAT YOUR NOTE AND THIS REPLY SHALL CONSTITUTE AN AGREEMENT BETWEEN OUR TWO GOVERNMENTS EFFECTIVE AS OF MAY 12, 1975. (COMPLIMENTARY CLOSING PARAGRAPH)  
END QUOTE.

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PAGE 04 OTTAWA 01698 02 OF 02 062211Z

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## Message Attributes

**Automatic Decaptioning:** X  
**Capture Date:** 01 JAN 1994  
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**Control Number:** n/a  
**Copy:** SINGLE  
**Draft Date:** 06 MAY 1975  
**Decaption Date:** 01 JAN 1960  
**Decaption Note:**  
**Disposition Action:** RELEASED  
**Disposition Approved on Date:**  
**Disposition Authority:** ElyME  
**Disposition Case Number:** n/a  
**Disposition Comment:** 25 YEAR REVIEW  
**Disposition Date:** 28 MAY 2004  
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**Review Authority:** ElyME  
**Review Comment:** n/a  
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**Review Date:** 05 MAY 2003  
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**To:** STATE  
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